



TEXAS PREPAID HIGHER EDUCATION TUITION BOARD

# TEXAS GUARANTEED TUITION PLAN

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## Incoming Rollover / Transfer Form

**1**

### Account Registration

**This form must accompany your rollover check.** The Texas Guaranteed Tuition Plan (TGTP) will only accept cash assets from an existing Uniform Gift/Transfer to Minors Act (UGMA/UTMA) custodial account, from another qualified tuition program under Section 529 of the Internal Revenue Code, from a Coverdell ESA, from one TGTP account to another TGTP account or from Series EE or I Savings Bonds. *Please check the appropriate box.*

Purchaser's First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

Or Entity Name [e.g., Trust, Estate, Corporation, Partnership or 501(c)(3) Organization]

Beneficiary's First Name \_\_\_\_\_ MI \_\_\_\_\_ Last Name \_\_\_\_\_

\_\_\_\_\_ **Texas Guaranteed Tuition Plan Account Number (if assigned)** \_\_\_\_\_

Transfer to TGTP Account \_\_\_\_\_ TGTP Account Transferred From (if applicable) \_\_\_\_\_

**2**

### Transfers from Custodial Accounts

**Transfer from a Uniform Gift/Transfer to Minors Act (UGMA/UTMA) custodial account.** I am funding this Texas Guaranteed Tuition Plan prepaid tuition contract with cash assets held in a custodial account that was established under UGMA/UTMA for the benefit of the Beneficiary named above. I understand that I will be considered the custodian of this Texas Guaranteed Tuition Plan account as UGMA/UTMA custodian for such Beneficiary. I understand that this means that I will not be able to change the Beneficiary on this Texas Guaranteed Tuition Plan account or make nonqualified withdrawals other than for the benefit of such Beneficiary.

**3**

### Rollovers from Other 529 Programs, Coverdell Education Savings Accounts, from One TGTP Account to Another TGTP Account or U.S. Savings Bonds

- A. Rollover from another qualified tuition program under Section 529.** I am completing a rollover from an existing qualified tuition program into the Texas Guaranteed Tuition Plan. I understand that I must provide the breakdown of principal and earnings in the following section.
- B. Rollover from a Coverdell Education Savings Account (ESA).** I am completing a rollover from a Coverdell ESA (formerly an Education IRA). I understand that I must provide the breakdown of principal and earnings in the following section.
- C. Transfer from one TGTP Account to another TGTP Account.** I understand that I do not have to complete Section 4 as the Plan will determine those amounts.
- D. Transfer from a Series EE or I Savings Bond.** I am completing a transfer of proceeds from a Series EE or I U.S. Savings Bond. I understand that I must provide the breakdown of principal and earnings in the following section.

**4**

### Information About the Rollover: Breakdown of Principal and Earnings

**Include your check made payable to Texas Guaranteed Tuition Plan.**

**Note: If you do not provide appropriate documentation showing a breakdown of your contribution by investment (principal) portion and earnings portion, the entire amount of the contribution will be treated as earnings that may be taxable upon withdrawal.**

Appropriate documentation is a statement or tax report, showing the basis and earnings of the rollover contribution, issued by the distributing Section 529 Program, Coverdell Education Savings Account custodian or trustee, or financial institution that redeemed the qualifying Series EE or I U.S. Savings Bonds. The earnings portion of amounts distributed from another Section 529 Program or a Coverdell ESA, or transfers from a Series EE or I Savings Bond, must be treated as earnings in the Texas Guaranteed Tuition Plan. Please provide below the breakdown of principal and earnings of the investment you are rolling over.

**Note: Appropriate documentation must be attached if you selected 2 or 3A, 3B, or 3D above.**

Investment (Principal) Portion of Rollover Contribution: \$ \_\_\_\_\_

Earnings Portion of Rollover Contribution: \$ \_\_\_\_\_

**Total Rollover Contribution: \$ \_\_\_\_\_**

Signature of Purchaser \_\_\_\_\_

Date \_\_\_\_\_

sign here