

# Historically Underutilized Business (HUB) Certification Centralized Master Bidders List (CMBL) Registration

Dear Applicant:

Texas has awarded billions of dollars in contracts to companies certified as **Historically Underutilized Businesses (HUBs)**. Be ready when opportunity knocks by certifying your minority-, woman- or service disabled veteran-owned business through the Statewide HUB Program at the Texas Comptroller of Public Accounts. We also encourage you to register for the **Centralized Master Bidders List (CMBL)** to increase your exposure to state purchasers, who search the CMBL for most purchases.

## Historically Underutilized Business (HUB) Certification

The goal of the HUB Program is to actively involve HUBs in the Texas procurement process and ensure they receive a fair share of state business. State agencies and universities are required to make a good-faith effort to include HUBs in their purchasing plans. Certified HUBs are listed in the online Texas HUB Directory, and state entities can directly contact a business to buy goods and services for purchases of \$5,000 or less. The Texas HUB Directory is also searched by vendors looking for certified HUBs to include in their subcontracting plans for projects of \$100,000 or more. HUB certification is free of charge and good for up to four years.

### To Apply for HUB Certification:

- Review the Eligibility Criteria on Page 2 to determine if your business is eligible for HUB certification.
- If your business is eligible, please provide the general information requested on Pages 3-4 of the enclosed application, complete Page 5 and attach all required supporting documentation. Be sure to sign the application and have it notarized on Page 5.
- Mail your completed application, including supporting documentation, to the address listed on the Application Checklist on the back page. **Please allow up to 90 days to process your HUB Certification Application.**

## Centralized Master Bidders List (CMBL) Registration

When state entities plan to buy goods and services that cost more than \$5,000, they must search the CMBL for potential vendors. Based on the results of their search, they contact vendors directly by mail, fax, email or phone with invitations for bids. The CMBL annual registration fee is \$70 and could potentially open the door to thousands of dollars in new revenue for your business. The fee covers the cost of the program and averages out to only \$5.83 per month.

### To Apply for CMBL Registration:

- Please provide the general information requested on Pages 3-4 of the enclosed application and complete Page 6. Be sure to sign the application on Page 6 and include your payment of \$70 in the form of a U.S. check or money order.
- Mail your completed application to the address listed on the Application Checklist on the back page. Please allow up to four weeks to process your CMBL Registration Application.

**Note: You can also choose to register for the CMBL online at [comptroller.texas.gov/purchasing/vendor/cmb/](http://comptroller.texas.gov/purchasing/vendor/cmb/) to immediately be included on the list.**

Thank you for your interest in the state of Texas HUB Program and CMBL. If you have any questions or need assistance completing the application, please contact a program representative toll-free at 1-888-863-5881.



# **HUB Eligibility Criteria**

***If you are applying for HUB Certification, please review the Eligibility Criteria listed below to determine if your business is eligible for certification. All HUB eligibility requirements are defined in Texas Government Code, Title 10, Chapter 2161 and administered under Texas Administrative Code, Title 34, Part 1, Chapter 20, Subchapter D.***

## **Criteria I – Economically Disadvantaged Person and Texas Residency**

The following persons are eligible HUB owners; provided that they are United States citizens\* and reside in Texas:

- Asian Pacific Americans, including persons whose origins are from Japan, China, Taiwan, Korea, Vietnam, Laos, Cambodia, the Philippines, Samoa, Guam, the U.S. Trust Territories of the Pacific, the Northern Marianas, and Subcontinent Asian Americans, which includes persons whose origins are from India, Pakistan, Bangladesh, Sri Lanka, Bhutan or Nepal.
- Black Americans, including persons having origins in any of the black racial groups of Africa.
- Hispanic Americans, including persons of Mexican, Puerto Rican, Cuban, Central or South American or other Spanish or Portuguese culture or origin, regardless of race.
- Native Americans, including persons who are American Indians, Eskimos, Aleuts or Native Hawaiians.
- American Women, which includes all women of any ethnicity not specified above.
- Service Disabled Veteran, as defined by 38 United States Code (U.S.C.), Section 101(2) who have a service-connected disability as defined by 38 U.S.C. Section 101(16), and have a disability rating of 20 percent or more as determined by the Department of Veterans Affairs or the Department of Defense.

\*Note: Veterans are not required to be United States citizens; however, they must reside in Texas.

## **Criteria II – Ownership**

For-profit businesses (sole proprietorships, partnerships, corporations, limited partnerships/companies, joint ventures) where at least 51 percent of the assets and interest of all classes of stock and equitable securities are owned by one or more persons meeting Criteria I above are eligible for HUB certification. Non-profit organizations are not eligible for HUB certification.

**Note: Sole proprietorships must be 100 percent owned and controlled by an individual meeting Criteria I above. In addition, each entity within a joint venture is required to be HUB certified.**

## **Criteria III – Active Participation, Control and Proportionate Interest**

Business documentation must substantiate that the eligible HUB owners are actively participating in the day-to-day operations of the business at a level that is comparable to their ownership.

Business documentation is also required to show that the eligible HUB owners are able to make independent business decisions (i.e., administrative duties, personnel management, negotiation and execution of contracts and financial transactions) that guide the future of the business. Absentee or titular ownership (owners who do not actively control the business) is not consistent with HUB eligibility standards.

## **Criteria IV – Principal Place of Business**

A Texas HUB's principal place of business must be located in the state of Texas. Principal place of business is the location where the qualifying owner(s) of the business direct, control and coordinate the business's daily operations and activities.

## **Criteria V – Size Standards**

A business entity is considered ineligible for HUB certification when it has maintained gross receipts or total employment levels during four consecutive years that exceed the SBA size standards set forth in 13 CFR, §121.201. Business entities who achieve the size standards for four consecutive years are assumed to have reached a competitive status in overcoming the effects of discrimination.



# HUB/CMBL Application

**The following information is needed for your certification as a HUB and/or your registration for the CMBL. For assistance in completing your application, please call 1-888-863-5881.**

Please check one or both of the following:

- Historically Underutilized Business (HUB) Certification**
- Centralized Master Bidders List (CMBL) Registration**

Please ensure that you respond to each item on the application accordingly. If an item is not applicable, enter "N/A" as your response.

All information submitted to the Comptroller of Public Accounts (CPA) is subject to the requirements of Texas Government Code, Chapter 552, the Texas Public Information Act. Information submitted in an application for HUB certification is generally protected from public disclosure by Section 552.128 of the Government Code. CPA will protect the information to the extent provided by law.

## 1. Federal Employer Identification Number (EIN)

EIN: \_\_\_\_\_ *\*Important - Do not enter your Social Security Number*

Provide the nine-digit federal EIN assigned to you for the purpose of filing your business' federal income tax returns with the Internal Revenue Service (IRS). If you do not have a federal EIN, you may obtain one free of charge from the IRS online at [www.irs.gov/businesses](http://www.irs.gov/businesses) or by calling the IRS at 1-800-829-4933. The process takes approximately five minutes.

## 2. Business Structure

Check the appropriate structure that identifies your business.

- Sole Proprietorship**
- Corporation**
- Limited (Liability) Company**
- Partnership**
- Limited (Liability) Partnership**
- Joint Venture**

## 3. Business Name, Mailing Address and Physical Address (include physical address if different than mailing address)

Business Name: \_\_\_\_\_

Mailing Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP Code: \_\_\_\_\_ County: \_\_\_\_\_

Physical Address (cannot be a P.O. Box): \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ ZIP Code: \_\_\_\_\_ County: \_\_\_\_\_

## 4. Business Phone Number and Fax Number

Phone: ( ) \_\_\_\_\_ Fax: ( ) \_\_\_\_\_

## 5. Internet Web Page/URL Address (if applicable)

Web/URL Address: \_\_\_\_\_

## 6. Primary Point of Contact (include phone and fax if different than above)

Name and Title: \_\_\_\_\_

Email Address: \_\_\_\_\_

Phone: ( ) \_\_\_\_\_ Fax: ( ) \_\_\_\_\_

## 7. Contact for Bids (include phone and fax if different than above)

Name and Title: \_\_\_\_\_

Email Address: \_\_\_\_\_

Phone: ( ) \_\_\_\_\_ Fax: ( ) \_\_\_\_\_

## 8. Major Credit Card Acceptance

Does your business currently accept major credit cards?  Yes  No

If yes, check applicable boxes:  MasterCard  Visa  American Express  Discover



# HUB/CMBL Application (Cont'd)

## 9. Resident Bidder

In accordance with Texas Government Code Section 2252.001, a "Resident Bidder" refers to a person whose principal place of business is in the state of Texas, including a contractor whose ultimate parent company or majority owner has its principal place of business in Texas.

Is your business entity a Texas Resident Bidder?  Yes  No If no, what state? \_\_\_\_\_

## 10. Small Business

In accordance with Texas Government Code Section 2006.001, a "Small Business" is identified as a legal entity, including a sole proprietorship, partnership or corporation, that is formed for the purpose of making a profit, is independently owned and operated, and has fewer than 100 employees or less than \$6 million in annual gross receipts.

Is your business entity designated as a Small Business?  Yes  No

## 11. Bid Information

State agencies and institutions of higher education use the online search engines of the CMBL and HUB Directory [mycpa.cpa.state.tx.us/tpasscmlsearch/index.jsp](http://mycpa.cpa.state.tx.us/tpasscmlsearch/index.jsp)) when seeking businesses to solicit for the purchase of their commodities and services. Your accurate selection and entry of the business category description, principal line of business, National Institute of Governmental Purchasing (NIGP) commodity/service codes and purchasing districts may increase your chances of being contacted not only by state agencies and institutions of higher education but also by local governments (municipalities, counties, school districts, etc.), MHMR community centers, assistance organizations, and the general public, including contractors bidding on large state contracts.

a. **Business Category** - Check the business category below (only one) that best identifies the services provided by your business and is the primary source of gross revenue receipts for your business.

- (01)-Heavy Construction other than Building Construction
- (02)-Building Construction, including General Contractors and Operative Builders
- (03)-Special Trade Construction
- (04)-Financial and Accounting Services
- (05)-Architectural/Engineering and Surveying
- (06)-Other Services, including Legal Services
- (07)-Commodities Wholesaler/Reseller
- (08)-Commodities Manufacturer
- (09)-Medical Services

b. **Principal Line of Business** - Provide a brief description of the products and/or services provided by your business.

\_\_\_\_\_  
\_\_\_\_\_

c. **Class and Item Codes** - Referencing the National Institute of Governmental Purchasing (NIGP) commodity/service codes available online at [comptroller.texas.gov/purchasing/nigp/](http://comptroller.texas.gov/purchasing/nigp/) provide the class and item code numbers that represent the specific commodities and/or services your business can provide. To identify more than three class codes, attach a continuation sheet using the same format. If you have any questions about NIGP commodity/service codes, please call 1-888-863-5881.

**Class #      Item # [Example: Class # 450 - Item # 03, 04, 25, 28, 36, 58, 63, 90, 91, 99]**

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

d. **Purchasing Districts** - Referencing the Highway Districts with TXDot Map available online at [mycpa.cpa.state.tx.us/tpasscmlsearch/index.jsp](http://mycpa.cpa.state.tx.us/tpasscmlsearch/index.jsp), check the appropriate numbered box(es) representing the purchasing districts in which your business can provide its commodities and/or services. If you have any questions about purchasing districts, please call 1-888-863-5881.

**Purchasing Districts:**

1   2   3   4   5   6   7   8   9   10   11   12   13   14   15   16   17   18   19   20   21   22   23   24   25

**To finish applying for HUB Certification, fill out Page 5.**

**To finish applying for CMBL Registration, fill out Page 6.**

**To finish applying for both HUB Certification and CMBL Registration, fill out Pages 5 and 6.**

**If you are applying for HUB Certification, make sure you complete Pages 3-4 then fill out this page. Remember to attach the required documentation noted in Item 4 below.**

**1. Ownership**

Provide the name, title and percentage of ownership interest of all individuals and business entities with an ownership interest in your business.

Identify each individual's ethnicity and gender by using the following **Ethnic Group Codes: "AS" for Asian Pacific American, "BL" for Black American, "HI" for Hispanic American, and "AI" for Native American**; and the following **Gender Codes: "F" for Female and "M" for Male**. If an individual's ethnicity does not meet any of the Ethnic Group Codes provided, enter **"Other"**.

Next, if the individual is Service Disabled Veteran, as defined by 38 United States Code (U.S.C.), Section 101(2) who have a service-connected disability as defined by 38 U.S.C. Section 101(16), enter that individual's percentage of disability as determined by the Department of Veterans Affairs or the Department of Defense. Enter "N/A" if not applicable.

Based on a 40-hour work week, identify the number of hours each owner is present at the business's physical address during the regularly established business hours, actively participating in the daily activities of the business operations.

Finally, check the appropriate boxes to indicate if each individual is currently employed elsewhere and if they have ownership interest in any other business entity.

Name (First, MI, Last)	Title	% of Ownership	Ethnicity/Gender	% of Service Disabled Veteran Rating	# of Hours	Other Employment	Other Business Ownership
_____	_____	_____	_____	_____	_____	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
_____	_____	_____	_____	_____	_____	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
_____	_____	_____	_____	_____	_____	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No
_____	_____	_____	_____	_____	_____	<input type="radio"/> Yes <input type="radio"/> No	<input type="radio"/> Yes <input type="radio"/> No

**2. Business Responsibility**

Provide the name and title of the individual(s) ultimately responsible for the functions identified below.

Functions	Name (First, Last)	Title
Negotiate and Sign Financial Contracts:	_____	_____
Negotiate and Sign Bids/Proposals:	_____	_____
Hiring and Firing of Management Personnel:	_____	_____
Supervision of Day-to-Day Operations:	_____	_____

**3. Minority/Woman/Disadvantaged Business Certification**

Has your business or any of its owners ever been denied certification as a minority-, woman- or service disabled veteran-owned business and/or denied certification as a disadvantaged business enterprise by another organization?  Yes  No

**4. Required Documentation to Substantiate HUB Eligibility (documents must be submitted with your application)**

As part of the submission of your completed HUB Certification Application, you are required to submit copies of documentation to substantiate that your business meets the HUB eligibility criteria. Therefore, if your business structure is a:

- **Sole Proprietorship, please go to Page 7;**
- **Partnership, please go to Page 9;**
- **Corporation, please go to Page 11;**
- **Limited (Liability) Partnership, please go to Page 13; or**
- **Limited (Liability) Company, please go to Page 15.**

**Note: If other business entities hold ownership interest in your company or if your business structure is a Joint Venture, please call 1-888-863-5881 to determine the documentation you will need to provide.**

**5. Affidavit of Eligibility**

As evidence of my signature below, I attest that the business entity identified previously in Item 3, Page 3 meets the HUB eligibility requirements. I agree to provide all materials and information necessary to identify and explain the operation of the above-named business and hereby permit, if required, the audit and examination of its books, records and files. I understand that any material misrepresentation of data for HUB certification may result in immediate removal from the HUB Directory. I further certify under penalty of law, that my responses to the questions above and any information I have provided is a complete and accurate statement of the facts. **I also understand that a person/business entity who intentionally applies as a HUB for an award of purchasing or public works contract and who knowingly does not meet the definition of a HUB commits a felony of the third degree (Texas Government Code Section 2161.231).** If there are any changes regarding the information contained or incorporated in this affidavit, I will notify CPA within 30 days of such changes.

Printed Name of Eligible Applicant with Majority Ownership Interest \_\_\_\_\_

Signature of Eligible Applicant with Majority Ownership Interest \_\_\_\_\_

Subscribed and sworn to before me, the undersigned notary public, on this \_\_\_\_\_ day of \_\_\_\_\_, year \_\_\_\_\_.

Notary Public's Signature \_\_\_\_\_

My Commission Expires (Notary Seal/Stamp) \_\_\_\_\_

**If you are applying for CMBL Registration, make sure you complete Pages 3-4 then read and sign this page.**

### U.S. Executive Order and Federal Excluded Parties List

The Contractor/Recipient is reminded that U.S. Executive Orders and U.S. laws prohibit transactions and the provision of resources and support to individuals and organizations associated with terrorism. Texas Government Code Section 2155.006 prohibits all state agencies from contracting with any vendor who has been convicted of an offense related to Hurricanes Katrina and Rita or any subsequent natural disaster, or who has had civil or administrative penalties assessed by the federal government for offenses related to those disasters. Vendors who register or renew their registration on the CMBL certify that they are in good standing with the federal government and are not excluded from doing business with federal agencies or any recipients of federal funds. As required, state agencies and local government entities that use the CMBL are required to conduct an EPLS search for all vendors that submit bids and should report any debarred vendors to CPA. These vendors will be removed from the CMBL and may be subject to debarment by CPA. It is the legal responsibility of the Contractor/Recipient to ensure compliance with Executive Orders and laws. This provision must be included in all sub-contracts/sub-awards under this contract/agreement.

### Vendor Responsibility

Vendors should not list their business as a provider of commodities and/or services by the class and item code(s) if the actual product(s) or service(s) cannot be provided. Vendor creditability and accountability as a responsible vendor may be damaged by false representation about the ability to provide the commodities and services (Texas Government Code Section 2155.267).

### Vendor Performance

CPA facilitates the requirement to track vendor performance under the Vendor Relations program, which provides a mechanism for vendor performance from state agencies for reporting exceptional, satisfactory and unsatisfactory performance. The actual reports can be viewed by accessing the CMBL profile and are public information.

**Note:** You may view vendor profiles at [mycpa.cpa.state.tx.us/tpasscmlsearch/index.jsp](http://mycpa.cpa.state.tx.us/tpasscmlsearch/index.jsp)

### Registration Fee

Please send your payment of \$70 in the form of a U.S. check or money order with your CMBL Registration Application. Applications will be returned if the \$70 annual registration fee is not included. Once your CMBL Registration Application is processed, an activation notice will be forwarded reflecting the next renewal date.

### Affidavit of Eligibility

I, the undersigned, certify under penalty of law that the above and foregoing information is a full, true and correct statement of the facts. I/We (the business) agree to faithfully abide by the purchasing laws and rules of the state of Texas now in effect and any subsequent revisions thereof.

\_\_\_\_\_  
Signature of Owner, Partner or Corporate Officer

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Name of Owner, Partner or Corporate Officer

**Please check Page 17 to confirm your application is complete.**



# Certification Sole Proprietorship

**If you are applying for HUB Certification and your business structure is a Sole Proprietorship, please provide the required documentation listed below and return this checklist with your HUB Certification Application.**

All **Sole Proprietorships** are required to provide clear and legible copies of the documents listed below. Please read each item carefully.

The documents identified with a “♦” may not be applicable, and if not, you will be required to respond accordingly on this form.

To assist us in expediting the review of your application and documents, have your documents sorted in the order listed below and use only one item (i.e., binder clip, paper clip, rubber band, bulk staple) to bind your application and documents together.

In accordance with Texas Administrative Code, Title 34, Part 1, Chapter 20, Subchapter D, Section 20.288 (Certification Process), “If requested by the CPA, the applicant must provide any and all materials and information necessary to demonstrate active participation in the control, operation and management of the historically underutilized business.” CPA reserves the right to conduct an on-site HUB certification and compliance review to assist in substantiating HUB eligibility prior to granting certification or re-certification and during the tenure of a business’ HUB certification.

All information submitted to the Comptroller of Public Accounts (CPA) is subject to the requirements of Texas Government Code, Chapter 552, the Texas Public Information Act. Information submitted in an application for HUB certification is generally protected from public disclosure by Govt. Code Section 552.128. CPA will protect the information to the extent provided by law.

- Proof of veteran service-connected disability status. A DD214 (Military Discharge) and a Disability Rating Letter issued by the Department of Veterans Affairs or the Department of Defense. (Required if you indicated that you have a service-connected disability rating of 20 percent or more, on Item 1, Page 5 of your HUB Certification Application.)
- Proof of U.S. citizenship and ethnicity for the eligible applicant. Proof of U.S. citizenship and ethnicity may be in the form of a birth certificate *or* the U.S. Citizenship and Immigration Services’ Certificate of Citizenship/Naturalization *or* the Tribal Registration Certificate of an entity recognized by the Bureau of Indian Affairs. (Tribal Registration Certificates are applicable to Native Americans only.)
- Official photo identification for the eligible applicant. Official photo identification may be in the form of a valid state of Texas Driver License/ID *or* the U.S. Citizenship and Immigration Services’ Certificate of Citizenship/Naturalization *or* the Tribal Registration ID Card of an entity recognized by the Bureau of Indian Affairs. (Tribal Registration ID Cards are applicable to Native Americans only.)
- Proof of Texas residency for the eligible applicant. Proof of Texas residency may be in the form of a valid state of Texas Driver License/ID *or* a valid state of Texas Voter’s Registration Card *or* a current state of Texas County Appraisal District’s Property (Homestead) Tax Statement.
- Federal income tax return Schedule C Form 1040 most recently filed with the Internal Revenue Service (IRS) for the business. If this firm is a new business that has not filed a federal income tax return with the IRS, the eligible applicant is required to:
  - Complete a Sole Proprietorship Affidavit of Ownership and Business Balance Sheet form (see **Page 8**); and
  - Provide proof of issuance of the federal Employer Identification Number you provided in Item 1, Page 3 of your HUB Certification Application.
- ♦ Employer’s quarterly reports (i.e., Texas Workforce Commission’s Form C-3 and C-4) filed for the first, second, third and fourth quarters of the most recent year the business has filed a federal income tax return with the IRS. If not applicable, check here.
- Assumed Name Certificate of an Unincorporated Business verifying that your business is registered with the county.
- Current bank signature card *or* a signed letter from the business’ banking institution identifying the 1) business name, 2) primary checking account number and 3) all individuals who are recognized as authorized signatures on the account.
- ♦ Current signed business loan agreements and promissory notes. If not applicable, check here.
- ♦ Current professional licenses and permits. If not applicable, check here.
- Current signed business site lease agreement, including amendments and renewals. Note: If you are the owner of the property on which the business operates and there is no formal written lease agreement in effect, you are required to provide a copy of the most current county tax appraisal statement for the property.
- ♦ Detailed explanation of other employment and/or business ownership interest if you answered yes to “Other Employment” and/or “Other Business Ownership” on Item 1, Page 5 of your HUB Certification Application. If not applicable, check here.
- ♦ Detailed explanation if you or your business have ever been denied certification as a minority-, woman- or service disabled veteran-owned business and/or denied certification as a disadvantaged business enterprise by another organization. (Required if you answered yes to Item 3, Page 5 of your HUB Certification Application.) If not applicable, check here.

If your firm is a new business that has not filed a federal income tax return, you are required to complete the Affidavit on the back of this page. Otherwise, please check Page 17 to confirm your application is complete.



# Certification Sole Proprietorship (Cont'd)

**If you are applying for HUB Certification and your Sole Proprietorship has not filed a federal income tax return with the IRS, please fill out this page, sign it and have it notarized.**

## Sole Proprietorship Affidavit of Ownership and Business Balance Sheet

State of Texas \_\_\_\_\_ County of \_\_\_\_\_

On this day personally appeared \_\_\_\_\_, who, after being by me duly sworn, upon his/her oath stated as follows:

"My name is \_\_\_\_\_. I am over 18 years of age and I am fully authorized and competent to make this affidavit. I have personal knowledge of all statements made in this affidavit and all such statements are true, complete and correct."

"I am the owner of the sole proprietorship known as \_\_\_\_\_ ('Business') located at \_\_\_\_\_. The Business was started on \_\_\_\_\_ and I became the sole owner on \_\_\_\_\_. I actively participate \_\_\_\_\_ hours per week in the daily activities of the business operations during the regularly established business hours."

"The Business was created for the purpose of making a profit and it is 100 percent owned, operated and controlled by the undersigned owner. No other person or entity has a beneficial ownership interest, directly or indirectly, in the Business and neither I nor anyone on my behalf has signed any power of attorney, or other assignment or authorization with respect to my ownership."

"In considering whether or not I have experienced the effects of being socially and economically disadvantaged, I attest: 1) I have held myself out to be a member of the qualifying group; 2) I have participated in community affairs as a member of the qualifying group; and 3) I am recognized by the population at large as being a member of the qualifying group."

"Additionally, on behalf of the business stated above, I attest that a federal income tax return has not been filed with the Internal Revenue Service for the business and the following balance sheet correctly reflects the current assets, liabilities and equity of the business."

Business Assets (whole dollar)		Business Liabilities (whole dollar)	
Cash on Hand in Banks	\$ _____	Accounts Payable	\$ _____
Savings Accounts	\$ _____	Notes Payable to Banks and Others	\$ _____
Total Assets	\$ _____	Total Liabilities	\$ _____
<b>Net Worth (Total Assets Minus Total Liabilities)</b>		<b>\$ _____</b>	

"Furthermore, in conjunction with submitting the HUB Certification Application and this Affidavit, I agree to provide CPA with a copy of the business' most current federal income tax return filed with the IRS, upon CPA's request (i.e., HUB re-certification, HUB compliance review)."

"I certify as evidence of my signature below, I have read and understand all statements contained here within and that all information I have provided is true and correct."

\_\_\_\_\_  
Printed Name of Eligible Applicant

\_\_\_\_\_  
Signature of Eligible Applicant

Subscribed and sworn to before me, the undersigned notary public, on this \_\_\_\_\_ day of \_\_\_\_\_, year \_\_\_\_\_.

\_\_\_\_\_  
Notary Public's Signature

\_\_\_\_\_  
My Commission Expires (Notary Seal/Stamp)

**Important: It is a third degree felony if a person intentionally applies as a HUB for an award of a purchasing contract or public works contract and knows the person does not meet the definition of a HUB. See Tex. Govt. Code Ann. Section 2161.231.**

Please check Page 17 to confirm your application is complete.





# HUB/CMBL Application Checklist

**Thank you for your interest in Historically Underutilized Business (HUB) certification and Centralized Master Bidders List (CMBL) registration. Please review the checklist on this page to ensure your application is complete before sending it to the Texas Comptroller of Public Accounts. You do not need to return the checklist on this page – it is for your convenience only.**

## Historically Underutilized Business (HUB) Certification

- Review the Eligibility Criteria on Page 2 to determine if your business is eligible for HUB certification.
- Provide the general information requested on Pages 3-4.
- Fill out Page 5, sign it and have it notarized.
- Attach the required supporting documentation listed by business structure below:
  - **Sole Proprietorship:** Attach the checklist on Page 7 along with the supporting documentation listed on that page. (If your Sole Proprietorship has not filed a federal income tax return with the IRS, you must also fill out Page 8, sign it and have it notarized.)
  - **Partnership:** Attach the checklist on Page 9 along with the supporting documentation listed on that page. (If your Partnership has not filed a federal income tax return with the IRS, you must also fill out Page 10, sign it and have it notarized.)
  - **Corporation:** Attach the checklist on Page 11 along with the supporting documentation listed on that page. (If your Corporation has not filed a federal income tax return with the IRS, you must also fill out Page 12, sign it and have it notarized.)
  - **Limited (Liability) Partnership:** Attach the checklist on Page 13 along with the supporting documentation listed on that page. (If your Limited (Liability) Partnership has not filed a federal income tax return with the IRS, you must also fill out Page 14, sign it and have it notarized.)
  - **Limited (Liability) Company:** Attach the checklist on Page 15 along with the supporting documentation listed on that page. (If your Limited (Liability) Company has not filed a federal income tax return with the IRS, you must also fill out Page 16, sign it and have it notarized.)

**Note: If other business entities hold ownership interest in your company or if your business structure is a Joint Venture, please call 1-888-863-5881 to determine the documentation you will need to provide.**

- |  |                                      |
|--|--------------------------------------|
| <input type="checkbox"/> Mail completed application to the address listed below: | Or our physical address is:          |
| Texas Comptroller of Public Accounts   | Texas Comptroller of Public Accounts |
| P.O. Box 13186   | Statewide Procurement Division       |
| Austin, Texas 78711-3186   | 1711 San Jacinto Blvd., 3rd Floor    |
|  | Austin, Texas 78701                  |

## Centralized Master Bidders List (CMBL) Registration

- Provide the general information requested on Pages 3-4.
- Read Page 6 and sign it.
- Include your \$70 registration fee in the form of a U.S. check or money order.
- Mail completed application to the address listed below:
 

Texas Comptroller of Public Accounts	Or our physical address is:
P.O. Box 13186	Texas Comptroller of Public Accounts
Austin, Texas 78711-3186	Statewide Procurement Division
	1711 San Jacinto Blvd., 3rd Floor
	Austin, Texas 78701