

**Texas Comptroller of Public Accounts  
Internal Audit Division**



**Fiscal 2018 Annual Audit Plan**

*Approved by the Honorable Glenn Hegar, Texas Comptroller*

*September 13, 2017*

**Comptroller of Public Accounts - Internal Audit Division**  
**Approved Fiscal 2018 Audit Plan**  
**September 13, 2017**

**Fiscal 2018 Audits**

<b>Project Title</b>	<b>Division</b>	<b>Area</b>	<b>Project Hours</b>
<b>Audit of IT Contracting</b>	Information Technology	Planning and Architecture - IT Asset and Contract Management Team  Technology Administration	1,250
	General Counsel	Contracts	
	Comptroller Procurement	Purchasing	
<b>Audit of Audit Processing and Texas Enterprise Zone</b>	Audit	Training, Technical Support & Audit Processing	1,280
<b>Audit of Enforcement Collections</b>	Enforcement	Field Operations	1,130
		Headquarters Support - Austin Call Center	
<b>Audit of Property Tax Appraisals</b>	Property Tax Assistance	Field Appraiser Operations Area	730
<b>Audit of Treasury Remote Depositing</b>	Treasury Operations	Banking & Electronic Processing – Deposit Processing	550
<b>Audit of SECO</b>	State Energy Conservation Office	State Energy Program	730
<b>Audit of IT Software Development</b>	Information Technology	Application Services - Tax & Operations - Administrative Apps	650
		<b>Total Fiscal 2018 Audit Hours:</b>	<b>6,320</b>

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**Fiscal 2017 Audits in Progress**

<b>Project Title</b>	<b>Division</b>	<b>Area</b>	<b>Project Hours</b>
<b>Audit of Economic Growth &amp; Endangered Species Management</b>	Economic Growth & Endangered Species Management	Economic Growth & Endangered Species Management	50
<b>Audit of IT General Controls - Phase II</b>	Information Technology Information Security	All Areas	470
<b>Audit of Warrant Processing</b>	Fiscal Management	Statewide Fiscal Services - Payment Services	400
<b>Audit of Revenue Accounting Voucher Processing</b>	Revenue Administration	Revenue Accounting - Miscellaneous Taxes, Fund Reconciliations, Bankruptcy & Liens - Fund & Payment Reconciliations	1,330
<b>Audit of Statewide Procurement</b>	Statewide Procurement Division  Statewide Support Services Division	Statewide Contract Management - Term Contracts  Statewide Contract Development - One-Time Buys  Statewide Contract Development - Contract Development  Statewide Systems Support - Technical Support - SmartBuy Support  State Mail & Program Development - TPASS Mail Operations	70
		<b>Total Fiscal 2017 Audits In Progress Hours:</b>	<b>2,320</b>

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**Special Projects / Management Requests:**

Project Title	Project Hours
Follow Ups	360
Client Assist (Internal/External)	80
FY 2017 Annual Internal Audit Report	0
FY 2019 Risk Assessment	1,390
FY 2018 Audit Plan Monitoring	150
TTSTC W/P Review - Client Assist	200
TeamMate Maintenance	240
Internal Audit Website/SharePoint Maintenance	40
TeamMate Template Revision	144
Quality Assurance Reporting	10
External Audit Coordination	0
IT Steering Committee	10
Internal Audit Handbook Review	90
Special Investigation	0
External Peer Reviews	180
Unclaimed Property System Review	130
Texas Bullion Depository Review	550
ServiceNow GRC Pilot Project	120
ITS Review	340
Fiscal Legacy Systems Project	0
TexNet Re-write Project	60
FY 2018 Risk Assessment	15
Other Requests / Unallocated Hours / Fiscal 2018 FTE Vacancy Hours	4,795
<b>Total Special Projects / Management Requests:</b>	<b>8,904</b>

**Summary of Hours**

Summary	Hours
Total Fiscal Year 2018 Audit Hours:	6,320
Total Fiscal Year 2017 Audits In Progress Hours:	2,320
Total Special Projects/Management Requests Hours:	8,904
<b>Direct Audit Hours:</b>	<b>17,544</b>
<b>Indirect Hours:</b>	<b>7,512</b>
<b>Total Hours</b>	<b>25,056</b>