

**Texas Comptroller of Public Accounts  
Internal Audit Division**



**Fiscal 2019 Annual Audit Plan**

*Approved by the Honorable Glenn Hegar, Texas Comptroller*

*September 24, 2018*

**Comptroller of Public Accounts - Internal Audit Division**  
**Approved Fiscal 2019 Audit Plan**  
**September 24, 2018**

**Fiscal 2019 Audits**

<b>Project Title</b>	<b>Division</b>	<b>Area</b>	<b>Project Hours</b>
<b>Audit of Statewide Mail Operations</b>	Statewide Procurement	Statewide Fleet, Travel & Mail Operations - Mail Messengers	1,030
<b>Audit of Vendor Performance Program</b>	Statewide Procurement	Procurement Policy & Outreach - Outreach	1,280
<b>Audit of Contract Examiner Program</b>	Audit	Audit - Field Offices Contract Examiner Program	1,030
<b>Audit of Tax Allocation Processes</b>	Revenue Accounting	Advanced Processes, Allocation & Location Verification - Tax Allocation	1,330
<b>Audit of TXSmartBuy Administration</b>	Statewide Procurement	Contract Development & Data Management - Data Management	930
<b>Audit of Mainframe Legacy Finance and HR Applications Maintenance</b>	Information Technology	Application Services - Tax & Operations - Customer Relationship Management - Statewide Financial Systems	1,080
<b>Audit of Unclaimed Property</b>	Unclaimed Property	Operations Support & Contract Employees - Operations Support  Operations Support & Contract Employees - Safebox	1,330
<b>Audit of TDEM Support</b>	Statewide Procurement	Procurement Policy & Outreach - Outreach	930
		<b>Total Fiscal 2019 Audit Hours:</b>	<b>8,940</b>

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**Fiscal 2018 Audits in Progress**

<b>Project Title</b>	<b>Division</b>	<b>Area</b>	<b>Project Hours</b>
<b>Audit of Audit Processing and Texas Enterprise Zone</b>	Audit	Training, Technical Support & Audit Processing	700
<b>Audit of Enforcement Collections</b>	Enforcement	Field Operation Headquarters Support - Austin Call Center	80
<b>Audit of Property Tax Appraisals</b>	Property Tax Assistance	Field Appraiser Operations Area	140
<b>Audit of Treasury Remote Depositing</b>	Treasury Operations	Banking & Electronic Processing - Deposit Processing	240
<b>Audit of SECO</b>	State Energy Conservation Office	State Energy Program	350
<b>Audit of IT Software Development</b>	Information Technology	Application Services - Tax & Operations - Administrative Apps	830
		<b>Total Fiscal 2018 Audits In Progress Hours:</b>	<b>2,340</b>

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**Special Projects / Management Requests:**

Project Title	Project Hours
Follow Ups	250
Client Assist (Internal/External)	110
FY 2018 Annual Internal Audit Report	40
FY 2020 Risk Assessment	1,180
FY 2020 IT Risk Assessment	220
FY 2019 Audit Plan Monitoring	80
TTSTC W/P Review - Client Assist	180
TeamMate Maintenance	200
Internal Audit SharePoint Maintenance	20
TeamMate Template Revision	520
Quality Assurance Reporting	0
External Audit Coordination	0
IT Steering Committee	10
Internal Audit Handbook Review	88
IT Audit Planning Template	180
Special Investigations	0
External Peer Reviews	96
Texas Bullion Depository Review	450
ServiceNow GRC Pilot Project	120
Follow-up to SAO's Audit of CAPPs	250
Educational Opportunities & Investments Special Project	200
FY 2019 Risk Assessment	30
Other Requests / Unallocated hours / FY 19 FTE vacancy hours	1,968
<b>Total Special Projects / Management Requests:</b>	<b>6,192</b>

**Summary of Hours**

Summary	Hours
Total Fiscal Year 2019 Audit Hours:	8,940
Total Fiscal Year 2018 Audits In Progress Hours:	2,340
Total Special Projects/Management Requests Hours:	6,192
<b>Direct Audit Hours:</b>	<b>17,472</b>
<b>Indirect Hours:</b>	<b>7,488</b>
<b>Total Hours</b>	<b>24,960</b>