

Implementation Status Summary of Fiscal 2020 Audit Recommendations

Audit Report Number and Title	Recommendation	Management Response	Progress Towards Implementation
2019-104 _Audit of Statewide Mail Operations	Five recommendations regarding the contract, reports and reconciliations were provided.	Management agreed with the recommendations and developed measures to address the recommendations.	Management fully implemented the audit recommendations.
2019-108 _Audit of Contract Examination Program	No recommendations were offered for this audit.	Not Applicable	Not Applicable
2020-103 _Audit of the Information Security Forensics Process	Three recommendations were provided for management consideration.	Management agreed with the recommendations and developed measures to address the recommendations.	Management fully implemented two of the three audit recommendations. Internal Audit will conduct follow-up on the remaining recommendation in accordance with auditing standards.
2020-104 _Audit of Treasury Warrant and Deposit Processing	One recommendation regarding a service contract was provided.	Management agreed with the recommendation and developed measures to address the recommendation.	Internal Audit will conduct follow-up on the recommendation in accordance with auditing standards.
2020-105 _Audit of CAPPs Managed Services Contract	No recommendations were offered for this audit.	Not Applicable	Not Applicable
2020-106 _Audit of Managing the Statewide Arbitration Process and Registry	One recommendation regarding reporting procedures was provided.	Management agreed with the recommendation and developed measures to address the recommendation.	Management fully implemented the audit recommendation prior to the issuance of the report.

Note: In accordance with Tex. Gov't Code Ch. 552, Sub. C, Information Excepted from Required Disclosure, the Internal Audit Division will not release any confidential or sensitive information protected by this exemption. Any information not protected by this or another applicable exemption that is determined to be confidential in nature will be specifically designated as such in accordance with State Auditor's Office (SAO) guidelines. As such, this summary does not include audit recommendations that are exempt from public disclosure under Chapter 552 of the Texas Government Code.